

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

For new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

195378US0DIV

First Inventor or Application Identifier

Chieko OSUMI

Title

RAFFINOSE SYNTHASE GENE, METHOD OF PRODUCING RAFFINOSE,  
AND TRANSGENIC PLANT

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages **41**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **7**
4. ☒ Oath or Declaration Total Pages **3**
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 15 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 C.F.R. §1.63(d)(2) and  
1.33(b).
5. ☒ Incorporation By Reference (usable if box 4B is checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under Box 4B, is considered to be  
part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

6. ☒ The prior application is assigned to: Ajinomoto  
Company, Inc., Reel No.: 8778, Frame No. 0242
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure  
Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
10. ☒ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Small Entity Statement(s) ☐ Statement filed in prior  
application. Status still proper  
and desired.
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☒ Other: Request for Priority  
Certified Statement Re Filing in Foreign Language,  
Verification of Translation, Spec. 83 pp. And 12  
Claims/Drawings Z filed in prior U.S. Application  
08/864,234, Sequence Listing

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 08/846,234

Prior application information: Examiner: Zaghmout

Group Art Unit: 1638

16. Amend the specification by inserting before the first line the sentence: *see above*

☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)  
of application Serial No. 08/846,234 Filed on April 28, 1997, now allowed.

☐ This application claims priority of provisional application Serial No.

Filed

17. CORRESPONDENCE ADDRESS



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Chieko OSUMI et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: RAFFINOSE SYNTHASE GENE, METHOD OF PRODUCING RAFFINOSE, AND TRANSGENIC PLANT

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	24 - 20 =	4	× \$18 =	\$72.00
INDEPENDENT CLAIMS	7 - 3 =	4	× \$78 =	\$312.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$260 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$690.00
TOTAL OF ABOVE CALCULATIONS				\$1,074.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,074.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$1,074.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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